



Parallel Session on:

**Strengthening Research Ethics Review**

Marco Polo Hotel, Mindanao Room, Ground Floor

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**Lecture 2:**  
**Ethical Principles in Research**  
**National Regulatory System for Human**  
**Protection in Research**

*Marita V. T. Reyes*  
*Philippine Health Research Ethics Board*



# Ethical Principles

## *(Belmont Report 1974)*

- Respect for Persons
- Beneficence
- Non-maleficence
- Justice



# Principle of Respect for Persons

1. Autonomy

Capable decision-makers

Respect for the vulnerable

“Informed Consent”

2. Truth telling

3. Confidentiality

4. Fidelity



# Beneficence

A positive duty providing benefits  
Balancing benefits and harms

*Helsinki 2008:*

*“It is the duty of the physician to promote and safeguard the health of the people.”*

*“Every patient... in the study should be assured of access to the best prophylactic, diagnostic and therapeutic methods identified in the study.”*

*“Populations... stand to benefit from the results.”*



# Non-maleficence

“Do no harm”

Avoid risks unless potential results justify them.

*Helsinki 2008:*

*“Risks involved must be adequately assessed and can be satisfactorily managed.”*

*“Cease if risks outweigh potential benefits.”*

*“No use of placebo or no treatment as controls...”*



# Justice

- *Rawls*: Fairness,  
Person gets what is due her.
- *Aristotle*: Equals treated equally,  
unequals unequally.

## ***WHO- CIOMS 2001 Guidelines***

*Research with women, pregnant, lactating*

*Research with the poor*

*Authorship*



# Ethical Issues

- Conflict of interest
- Disproportion between importance of objectives and resource utilization
- Product availability
- Post-research care of participants
- Bias, use of control arms
- Uninformed participation of individuals, community
- Errors, honest mistakes, negligence
- Misconduct: fabrication, falsification, plagiarism



# Importance of Guidelines

*“To ensure quality and consistency in the ethical review of health research involving humans.”*

*(WHO Operational Guidelines )*

- Strengthen institutional capacity for ethical review.
- Protect (enhance) credibility of ERCs.
- Ensure independence from political, institutional, professional and market influences.
- Ensure impartiality.
- Promote consistency.





# International and National Guidelines

- 1964** Declaration of Helsinki of WMA,... 2008
- 1982** International Guidelines for Biomedical Research Involving Human Subjects WHO & CIOMS... 1993, 2001
- 1985** National Ethical Guidelines for Health Research ... 2006
- 1996** ICH Guidance E6: Good Clinical Practice Guidelines
- 2000** WHO Operational Guidelines for Ethics Committees that Review Biomedical Research



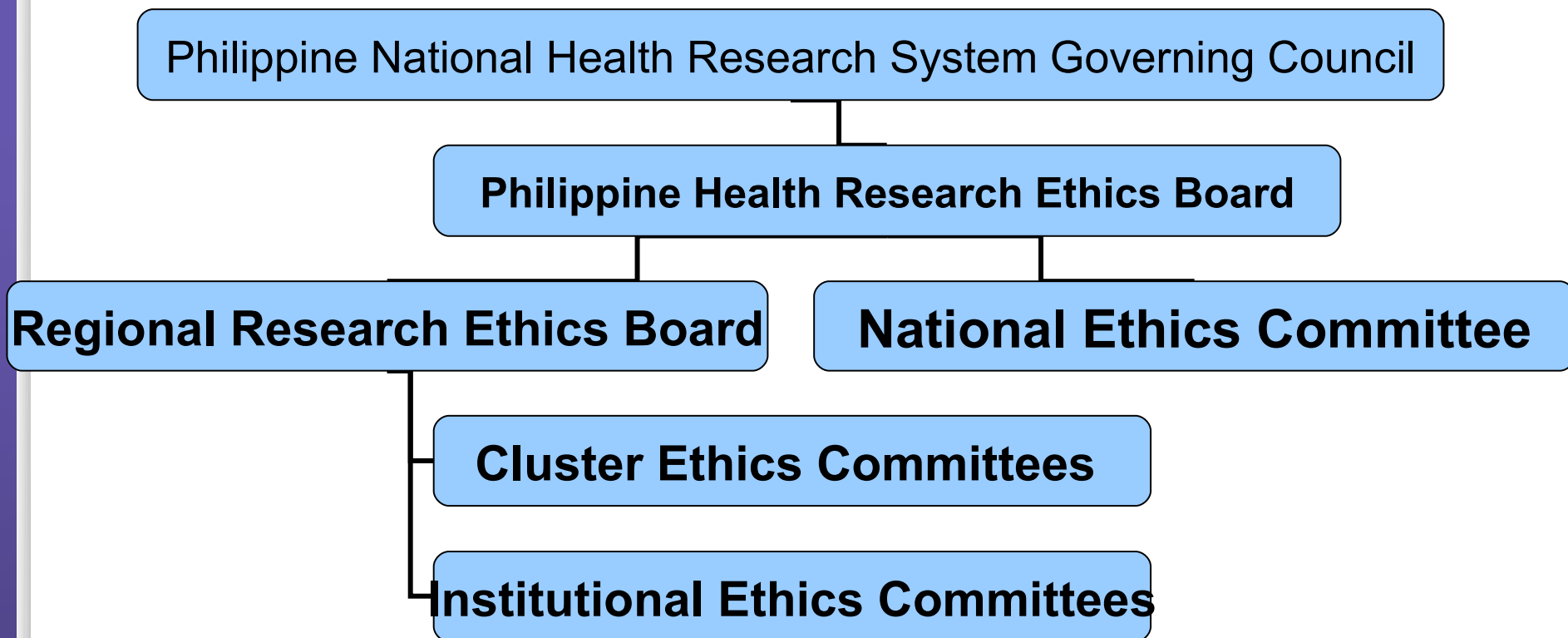
# **Regulatory System for Protection of Human Participants in Health Research**

## *3- layered system*

1. Individual informed consent
2. Ethics Review Committees
3. National regulatory authorities

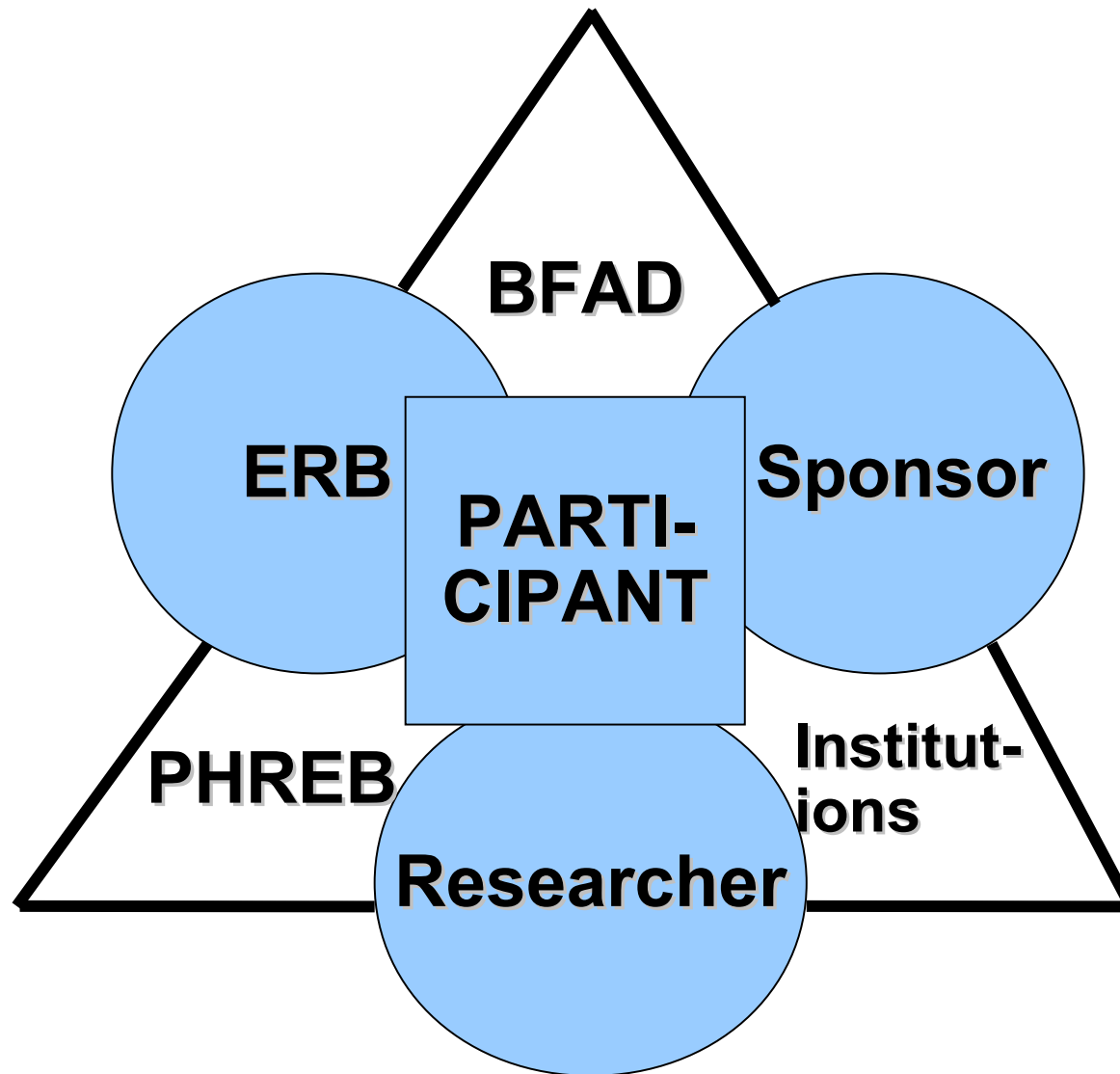


# The National Research Ethics Review System





# Defining the Framework





# Values in Health Research

- Equity in health needs appropriate priority setting and ethical conduct in health research and health care provision.
- There is a humane imperative to ensure that health research have meaningful outcomes (*Juntra Karbwang, 2007*)- i.e., those in the interest of patients and public health.
- Health research must be respectful of the dignity of persons and protective of human rights.